PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Please type a plus sign (+) inside this box TRANSMITTA 11/09/2001 Filing Date **FORM** Chunzeng Li et al. |First Named Inventor (to be used for all correspondence after initial filing) Not Yet Assigned Group Art Unit Not Yet Assigned COPY OF PAPERS **Examiner Name** ORIGINALLY FILED JUN 2 6 2002 528.001 Attorney Docket Number Total Number of Pages in This Submission ENCLOSURES (check all that apply) After Allowance Communication Assignment Papers to Group Appeal Communication to Board of (for an Application) Appeals and Interferences Fee Transmittal Form Appeal Communication to Group Drawing(s) (Appeal Notice, Brief, Reply Brief) Fee Attached Licensing-related Papers Proprietary Information Amendment / Reply Petition Status Letter After Final Petition to Convert to a Other Enclosure(s) (please Provisional Application Affidavits/declaration(s) Power of Attorney, Revocation Change of Correspondence identify below): TECHNOLOGY PENTER 170 Extension of Time Request Return Postcard Address Nine (9) references Terminal Disclaimer **Express Abandonment Request** Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Jay G. Durst Boyle Fredricksoft Newholm Stein & Gratz, S.C. Firm Individual name 250 East Wisconsin Avenue, Suite 1030 Milwaukee, WI

Signature Date I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date:

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This Information Disclosure Statement is being submitted under the provision of 37 CFR Sir: §§ 1.56 and 1.97(b)(3). A copy of each reference or document listed on the attached Form PTO-1449 is also included as required under 37 CFR § 1.98.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not constitute "prior art" under the United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Information Disclosure Statement Serial No.10/052,921, Filed 11/9/2001 Page 2

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

This Information Disclosure Statement is submitted prior to the mailing of a first office action on the merits as permitted under § 1.97(b)(3) and, therefore, no fee is due at this time. However, the Commissioner is hereby authorized to charge payment of any additional fee(s) associated with this or any other communication or credit any overpayment to Deposit Account No. 50-1170, if necessary.

Respectfully submitted,

Datad:

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Docket No. 528.001

Serial No.: Atty. Docket No.: Form PTO-1449 (Modified) 10/052,921 528.001 Page 1 of 1 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT - (Use several sheets if necessary) Chunzeng Li et al. Applicant: JAN 5 8 5005 Group: Not Yet Assigned Filing Date: 11/09/2001 **COPY OF PAPERS** ORIGINALLY FILED U.S. PATENT DOCUMENTS REFERENCE DESIGNATION Filing Date If Subclass Class Name Date Document Number Appropriate Examiner Initial 306 250 Gimzewski et al. 05/26/1987 4,668,865 AA328 310 Elings et al. 10/03/1989 4,871,938 AΒ 306 250 Bando et al. 07/03/1990 AC4,939,363 153.1 204 04/13/1993 Kwak et al. 5,202,004 AD 250 307 Tao et al. 03/05/1996 5,497,000 AE 754 324 Yasutake 04/21/1998 5,742,172 ΑF 250 306 Lindsay et al. 05/12/1998 5,750,989 AG 306 250 Kitamura et al. 12/21/1999 6,005,246 ΑH 73 105 06/13/2000 Kitamura 6,073,485 AJ 400 204 06/12/2001 Lindsay et al. 6,245,204 AK ALAM AN AO AP AQ AR AS FOREIGN PATENT DOCUMENTS Translation Subclass Class Country Document Number Date No Yes AT OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) ΑU ΑV DATE CONSIDERED **EXAMINER** EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.